



Contracts, concurrency and SCOOP for Web Services

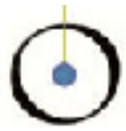
Bertrand Meyer

Professor of software engineering, ETH Zurich
Founder and chief architect, Eiffel Software

Trento, December 2003

The traditional programming world

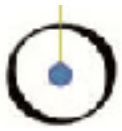
- Sequential
- Used to be messy
- Still hard but:
 - Structured programming
 - Well-understood basic structures
 - Data abstraction & object technology
 - Design by Contract
 - Genericity, multiple inheritance
 - Architectural techniques
 - Much higher level than 20, 15, 10 years ago
- Switch from operational techniques to logical deduction (e.g. invariants) allows **static** reasoning



Design by Contract™

- Get things right in the first place
- Automatic documentation
- Self-debugging, self-testing code
- Proper handling of abnormal cases (exceptions, failures)
- Get inheritance right
- Give managers the right control tools





Contracts in Eiffel

```
store (buf: BUFFER [INTEGER]; value: INTEGER) is  
  -- Store value into buffer.
```

```
require
```

```
  not buf.is_full  
  value > 0
```

```
do
```

```
  buf.put (value)
```

```
ensure
```

```
  not buf.is_empty
```

```
end
```

```
...
```

Precondition:
client obligation

Postcondition:
supplier obligation

The traditional programming world

- Sequential
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- Switch from operational techniques to logical deduction (e.g. invariants) allows **static** reasoning



The new world

- Everything has to be concurrent, distributed, multithreaded, pervasive, wearable, web-enabled...
- Used to be messy
- Still messy
 - Examples: threading models in most popular approaches
 - Development level: ca. 1968
 - Only understandable through operational reasoning



Concurrency & distribution

- Everyone wants to do it
- Many are doing it
- Those who are doing it are not doing it very well



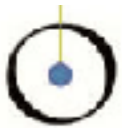
Web services

- Generalization of client-server paradigm taking advantage of the ubiquity of the World-Wide Web

Definition

A Web Service is a service made available by a program to other programs through the World-Wide Web

- Technologies: HTTP, XML, SOAP, WSDL



Web service technologies

- HTTP (HyperText Transfer Protocol): the Web server protocol
- WSDL (Web Services Description Language): provide description of services offered
- UDDI: help search for services
- SOAP (Simple Object Access Protocol): encode service requests and results
- XML (eXceedingly Marketed Language): common format for all exchanges



Some Web service issues

- Programming Web services
- Specifying the effect of a Web service
- Guaranteeing quality

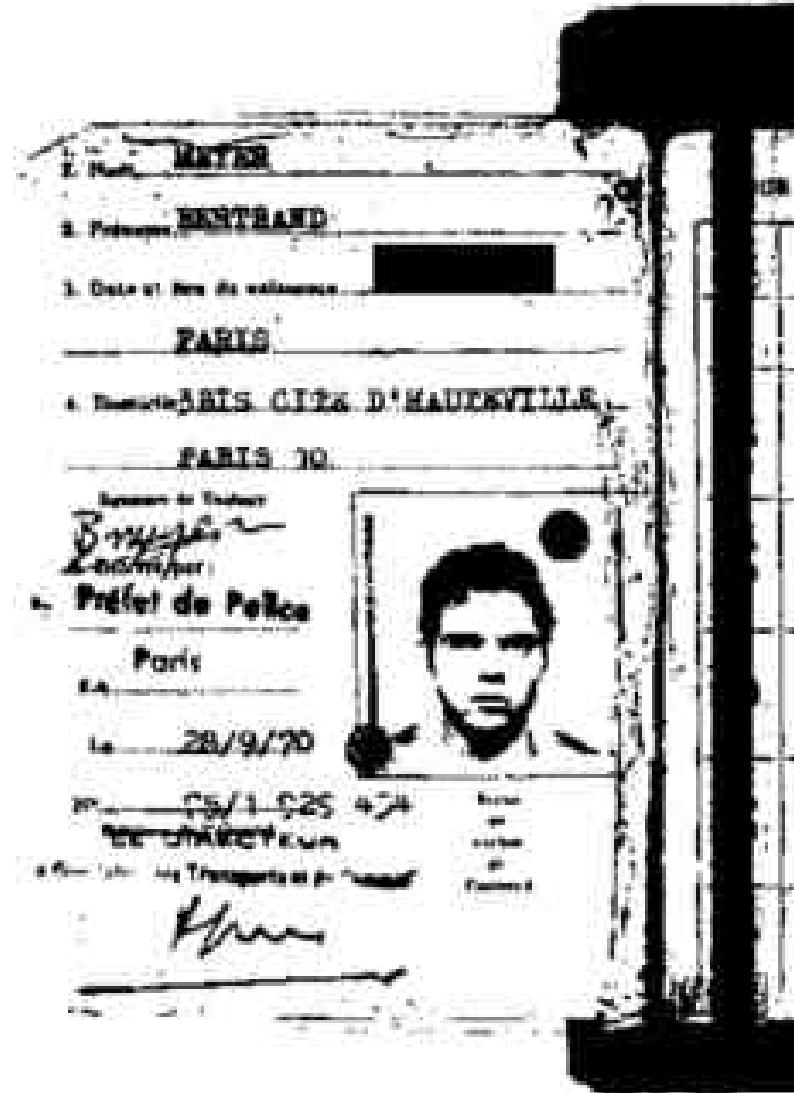


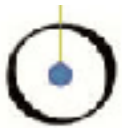
The documentation problem

- How to guarantee that documentation is faithful to the software?
- How to guarantee that it *remains* faithful?
- How to get it in the first place?



The French Driver's License issue





The trouble with IDL

- Has to be written and maintained separately
- Better approach: Eiffel's contract form; .NET metadata
- Will WSDL reintroduce the problem?



The new world

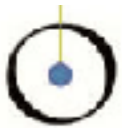
- Everything has to be concurrent, distributed, multithreaded, pervasive, wearable, web-enabled...
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Impedance mismatch

- O-O: high-level abstraction mechanisms
- Concurrency: semaphores, locks, suspend, manual exclusion, sharing...

- Simple Concurrent Object-Oriented Programming
- First iteration 1990
- CACM, 1993
- Object-Oriented Software Construction, 2nd edition, 1997
- Prototype implementation at Eiffel Software, 1995
- Prototypes by others
- No being done for good at ETH, Hasler foundation funding, also ETH and Microsoft ROTOR project



Why O-O?

- Structuring concept: the class
 - Module-type fusion
 - Information hiding
 - Multiple inheritance
 - Genericity
 - Polymorphism and dynamic binding
 - Contracts

Computation concept:
the object

- Modeling power
 - Dynamic allocation
 - Automatic memory management

x.r (a)



O-O and concurrency

- “Objects are naturally concurrent” (Milner)
- Many attempts
- “Active objects”
- “Inheritance anomaly”
- No mechanism widely accepted
- In practice, low-level mechanisms on top of O-O language



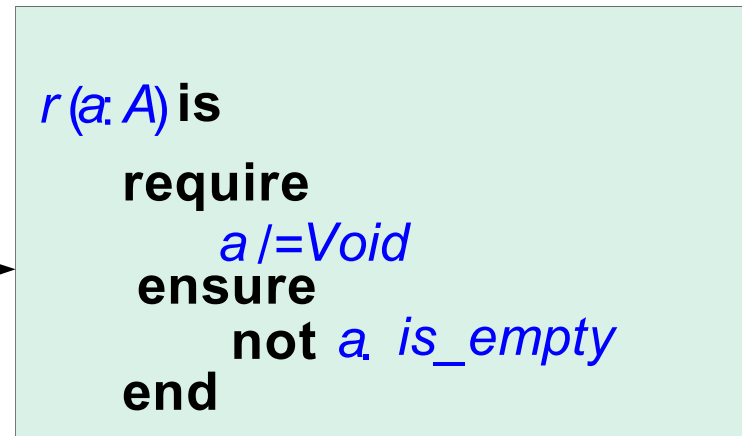
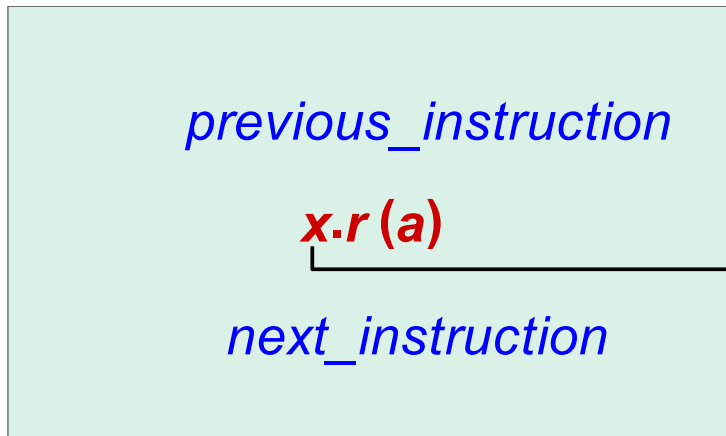
Feature call

$x: CX$

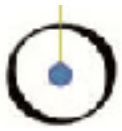
$x.r(a)$

Client

Supplier (CX)



Processor

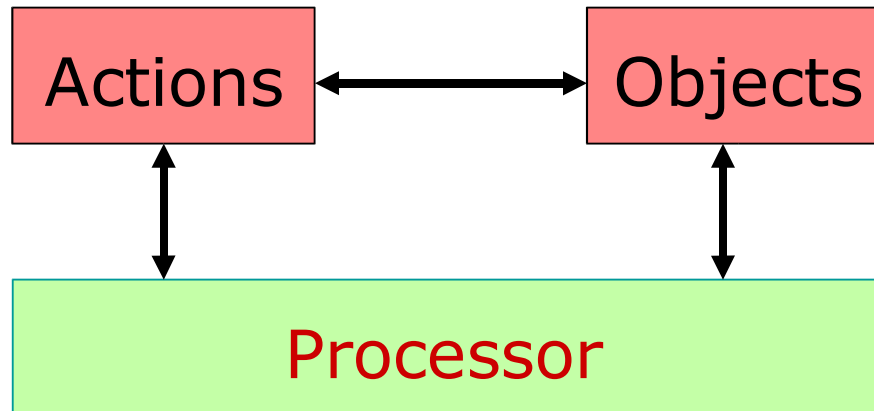


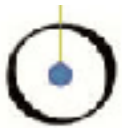
Object-oriented computation

To perform a computation is

- to apply certain **actions**
- to certain **objects**
- using certain **processors**

$x.r(a)$





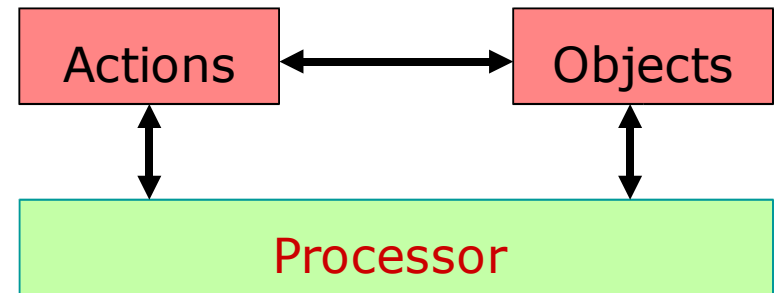
What makes an application concurrent?

Processor:

Thread of control supporting sequential execution of instructions on one or more objects

Can be implemented as:

- Computer CPU
- Process
- Thread
- AppDomain (.NET) ...



Will be mapped to computational resources



Handling rule

All calls on an object
are executed by the processor's handler



Reasoning about objects

$\{\text{Pre}_r \text{ and INV}\} \quad \text{body}_r \quad \{\text{Post}_r \text{ and INV}\}$

$\{\text{Pre}_r'\} \quad \text{x.r (a)} \quad \{\text{Post}_r'\}$

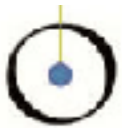


Reasoning about objects

Only n proofs if n exported routines!

$\{\text{Pre}_r \text{ and INV}\} \quad \text{body}_r \quad \{\text{Post}_r \text{ and INV}\}$

$\{\text{Pre}_r'\} \quad \text{x.r (a)} \quad \{\text{Post}_r'\}$



In a concurrent context

Only n proofs if n exported routines?

$\{\text{Pre}_r \text{ and INV}\} \quad \text{body}_r \quad \{\text{Post}_r \text{ and INV}\}$

$\{\text{Pre}_r'\} \quad x.r(a) \quad \{\text{Post}_r'\}$





Mutual exclusion rule

At most one feature may execute
on any one object at any one time



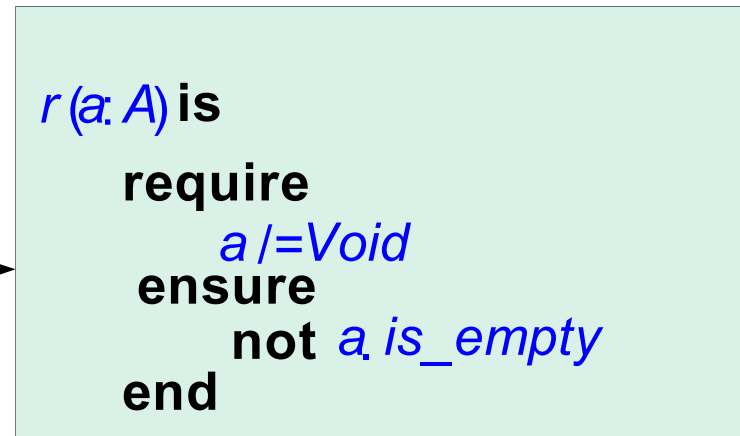
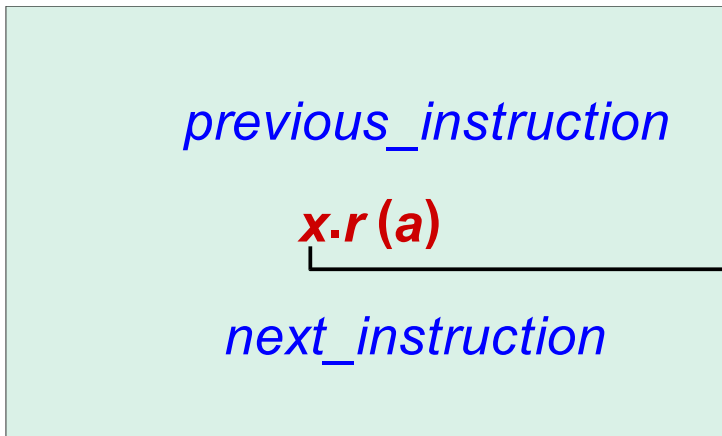
Feature call: sequential

$x.r(a)$

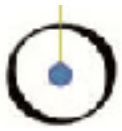
$x: CX$

Client

Supplier (CX)



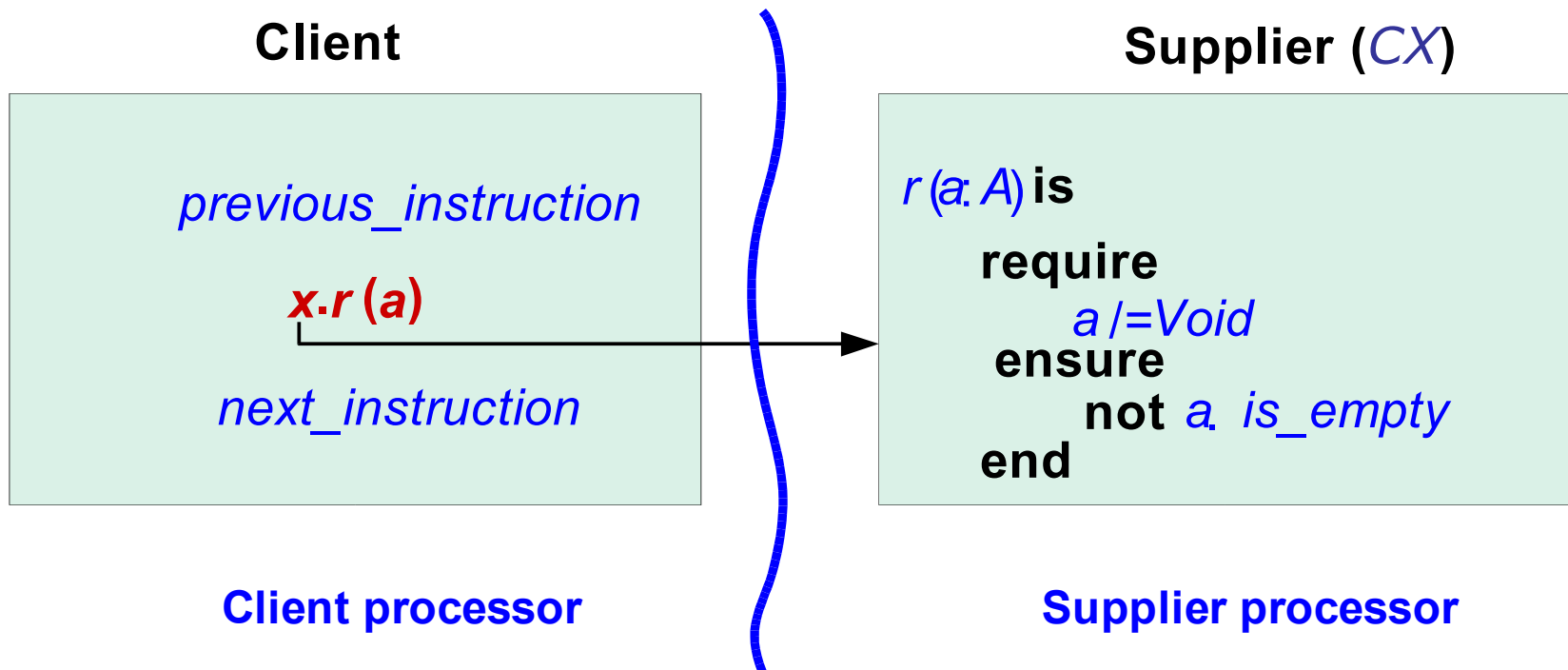
Processor

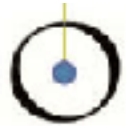


Feature call: asynchronous

x.r(a)

x: separate CX





Separateness rule

Calls to non-separate objects are synchronous

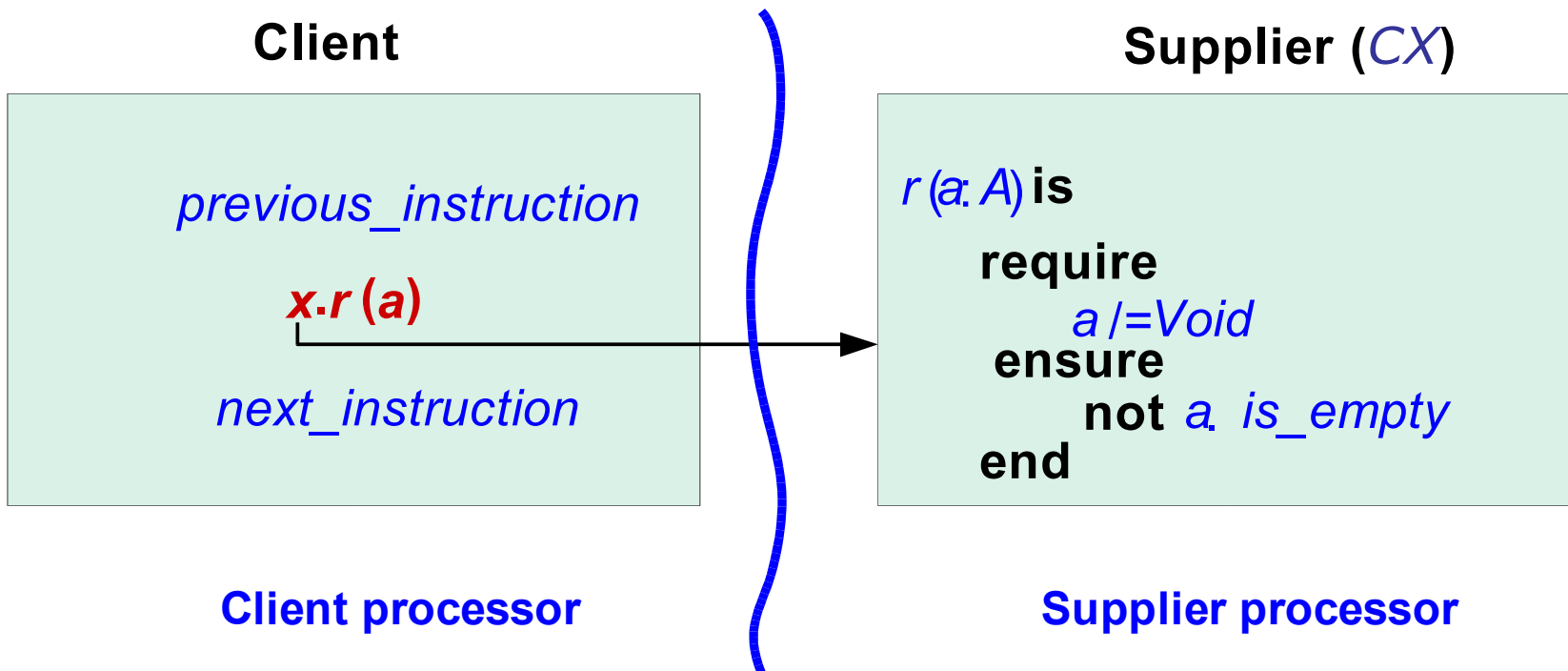
Call to separate objects are asynchronous



Feature call: asynchronous

x.r(a)

x: separate CX

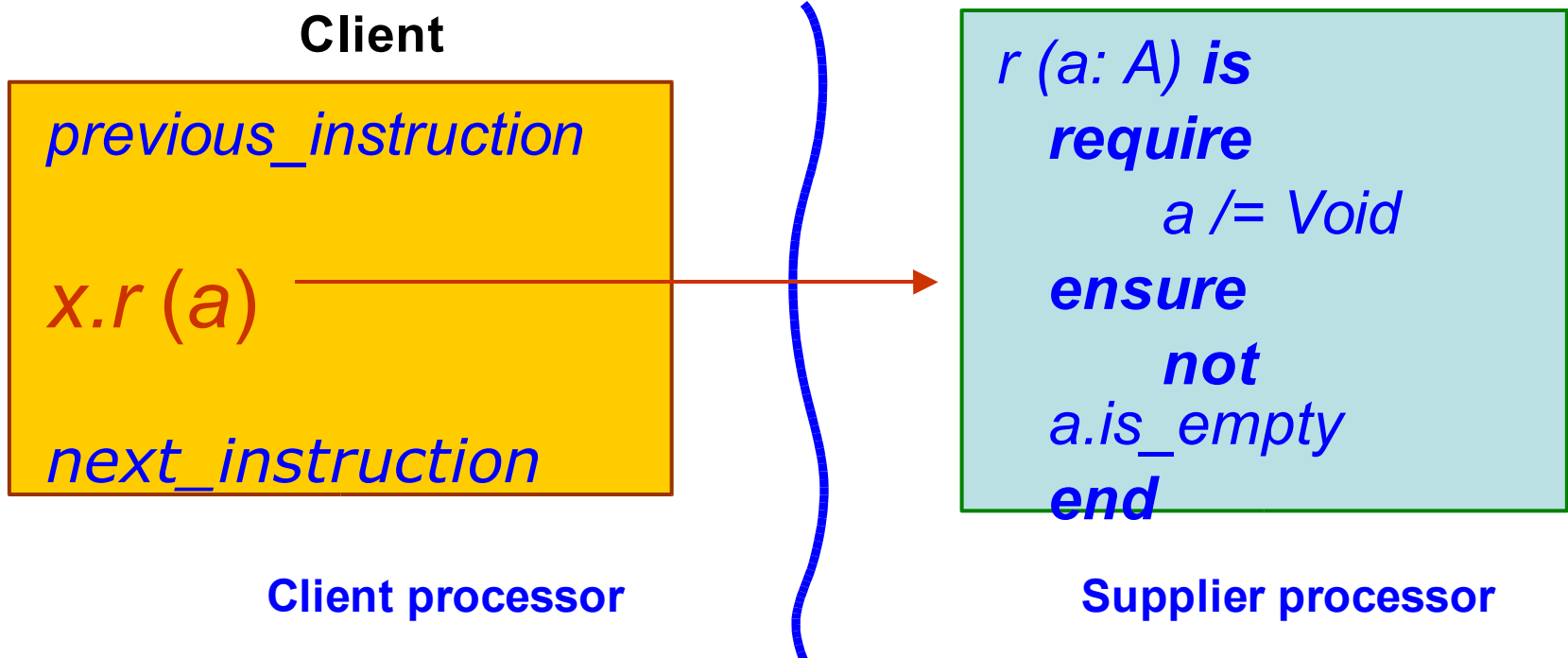




Feature call: asynchronous

$x.r(a)$

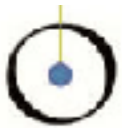
x : separate CX





What does “separate” mean?

- Does not specify processor
- Simply indicates that it’s “elsewhere”



The fundamental difference

To wait or not to wait:

- If same processor, synchronous
- If different processor, asynchronous

Difference must be captured by syntax:

- x: CX
- x: **separate** CX



Consistency

Client:

class *C* feature

a: *SOME_TYPE*

sep: **separate *B***

sep.p (*a*)

end

Supplier:

class *B* feature

p (*a*: *SOME_TYPE*)

is do ... end

end



Consistency

Client:

class *C* feature

a: *SOME_TYPE*

sep: **separate** *B*

sep.p (*a*)

end

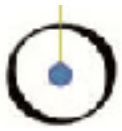
Supplier:

class *B* feature

p (*a*: **separate** *SOME_TYPE*)

is do ... end

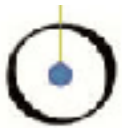
end



Separateness consistency rule

For any reference actual argument in a separate call, the corresponding formal argument must be declared as separate

Separate call: $a.f(\dots)$ where a is separate



If no access control

x: **separate** CX

...

x.r (a)



y := x.f



If no access control

my_stack: **separate** STACK [T]

...

my_stack.push (a)



y := my_stack.top



Access control policy

- Require target of separate call to be formal argument of enclosing routine:

```
put (b: separate STACK [T]; value: T) is  
    -- Push value on top of b.  
do  
    b.push (value)  
end
```



Access control policy

- Target of a separate call must be formal argument of enclosing routine:

```
put (b: separate BUFFER [T]; value: T) is  
    -- Store value into b.
```

```
do
```

```
    b.put (value)
```

```
end
```

- To use separate object:

```
my_buffer: separate BUFFER [INTEGER]
```

```
create my_buffer
```

```
store (my_buffer, 10)
```




Separate argument rule

The target of a separate call must be an argument of the enclosing routine

Separate call: $a.f(\dots)$ where a is separate



Wait rule

A routine call with separate arguments will execute when all corresponding objects are available

and hold them exclusively for the duration of the routine

Separate call: $a.f(\dots)$ where a is separate



Contracts in Eiffel

```
store (buf: BUFFER [INTEGER]; value: INTEGER) is  
  -- Store value into buffer.
```

```
require
```

```
  not buf.is_full  
  value > 0
```

```
do
```

```
  buf.put (value)
```

```
ensure
```

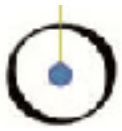
```
  not buf.is_empty
```

```
end
```

```
...
```

Precondition:
client obligation

Postcondition:
supplier obligation



Contracts in Eiffel

```
store (buffer: BUFFER [INTEGER]; value: INTEGER) is
```

```
-- Store value into buffer.
```

```
require
```

```
not buffer.is_full
```

```
value > 0
```

```
do
```

```
buffer.put (value)
```

```
ensure
```

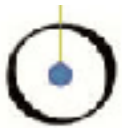
```
not buffer.is_empty
```

```
end
```

```
...
```

```
store (my_buffer, 10)
```

Precondition



From preconditions to wait-conditions

```
store (buffer: separate BUFFER [INTEGER]; value: INTEGER)
```

```
is
```

```
-- Store value into buffer.
```

```
require
```

```
not buffer.is_full
```

```
value > 0
```

```
do
```

```
buffer.put (value)
```

```
ensure
```

```
not buffer.is_empty
```

```
end
```

```
...
```

```
store (my_buffer, 10)
```

- If buffer is *separate*,.

On separate target, precondition becomes **wait condition**



Contracts

Client:

if not *my_buffer.is_full*

then

store (my_buffer, x)

end

Supplier:

store (b: BUFFER [T]; value: T) is

-- Store *value* into *b*.

require

not *b.is_full*

value > 0

do

b.put (value)

ensure

not *b.is_empty*

end

...



Contract under concurrency?

Client:

if not *my_buffer.is_full*

?????

then

store (my_buffer, x)

end

Supplier:

store (b: BUFFER [T]; value: T) is

-- Store *value* into *b*.

require

not *b.is_full*

value > 0

do

b.put (value)

ensure

not *b.is_empty*

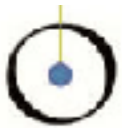
end

...



What happens to preconditions?

- Precondition on separate target becomes **wait condition** (instead of correctness condition)
- This becomes the basic synchronization mechanism



Separate precondition rule

A separate precondition
causes the client to wait

Separate precondition: *a.condition (...)*
where *a* is separate



Full synchronization rule

A call with a separate argument waits until:

- Object is available
- Separate precondition holds

$x.f(a)$

where a is separate



Resynchronization

- No special mechanism needed for client to resynchronize with supplier after separate call.
- The client will wait only when it needs to:

x.f

x.g (a)

y.f

...

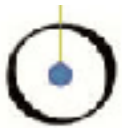
value := x.some_query

Wait here!



Resynchronization rule

Clients wait for resynchronization on queries



Interrupts?

Can we snatch shared object from its current holder?

- Execute *holder.r (b)* where *b* is **separate**
- Another object executes *challenger.s (b)*
- Normally, *challenger* would wait
- What if *challenger* is impatient?



The duel mechanism

Library features

Challenger	<i>normal_service</i>	<i>immediate_service</i>
Holder		
<i>retain</i>	Challenger waits	Exception in challenger
<i>yield</i>	Challenger waits	Exception in holder; serve challenger



Extending duels

- Timing limits
- Priorities (for real-time processing)



Example: class *PROCESS*

deferred class

PROCESS

feature -- Status report

over: BOOLEAN is

-- Must execution terminate now?

deferred end

feature -- Basic operations

setup is

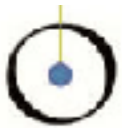
-- Prepare to execute process (default: nothing).

do end

step is

-- Execute basic process operations.

deferred end



PROCESS

wrapup **is**

-- Execute termination operations (default: nothing).

do end

feature -- Process behavior

live **is**

-- Perform process lifecycle.

do

from *setup* **until** *over* **loop**

step

end

wrapup

end

end



Example: Dining philosophers

```
class PHILOSOPHER inherit  
  PROCESS
```

```
  rename
```

```
    setup as getup
```

```
  redefine step end
```

```
feature {BUTLER}
```

```
  step is
```

```
    do
```

```
      think ; eat (left, right)
```

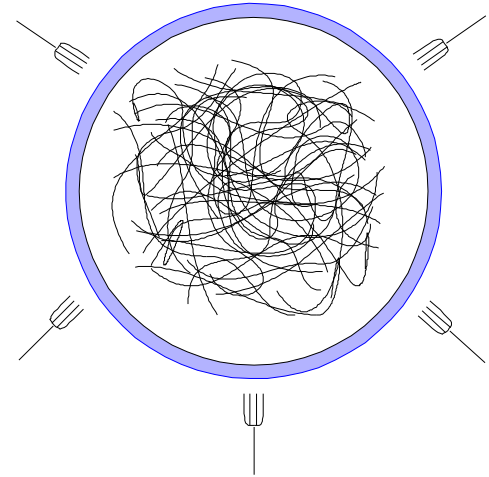
```
    end
```

```
  eat (l, r: separate FORK) is
```

```
    -- Eat, having grabbed l and r.
```

```
    do ... end
```

```
end
```





Example: Bounded buffer usage

Usage of bounded buffers

```
buff: BUFFER_ACCESS [MESSAGE]  
my_buffer: BOUNDED_BUFFER [MESSAGE]
```

```
create my_buffer  
create buff.make (my_buffer)
```

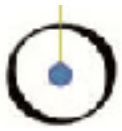
```
buff.put (my_buffer, my_message)
```

```
...
```

```
buff.put (my_buffer, her_message)
```

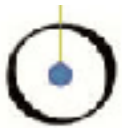
```
...
```

```
my_query := buff.item (my_buffer)
```



Other examples

- Watchdog: use duels
- Elevator (see next)
- Others in *Object-Oriented Software Construction*



Problem: Impatient client (*challenger*) wants to snatch object from another client (*holder*)

- Can't just interrupt holder, service challenger, and resume holder: would produce inconsistent object.
- But: can cause exception, which will be handled safely.

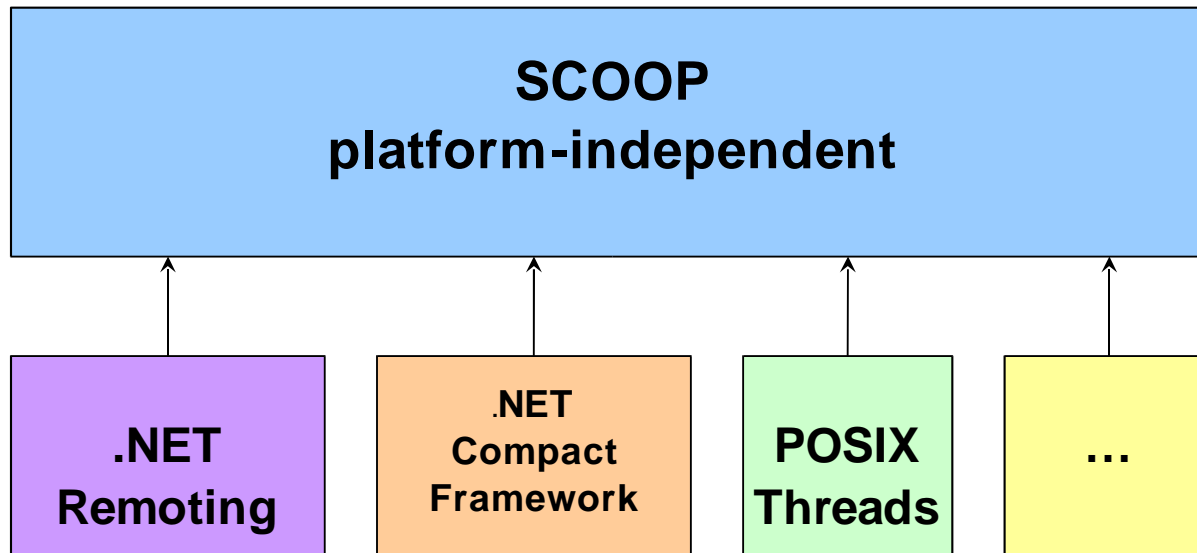


Duels

Challenger	<i>normal_service</i>	<i>immediate_service</i>
Holder		
<i>retain</i>	Challenger waits	Exception in challenger
<i>yield</i>	Challenger waits	Exception in holder; serve challenger

Two-level architecture of SCOOP

- Adaptable to many environments
- .NET remoting is current platform





Mapping processors to physical resources

Concurrency Control File (CCF)

create

system

"lincoln" (4): "c:\prog\appl1\appl1.exe"
"roosevelt" (2): "c:\prog\appl2\appl2.dll"
"Current" (5): "c:\prog\appl3\appl3.dll"

end

external

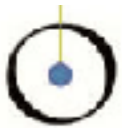
Database_handler: "jefferson" **port** 9000
ATM_handler: "gates" **port** 8001

end

default

port: 8001; **instance**: 10

end



SCOOPLI: Library for SCOOP

- Library-based solution
- Implemented in Eiffel for .NET
(from Eiffel Software:
EiffelStudio / ENViSioN! for Visual Studio.NET)
- Aim: try out solutions without bothering with compiler issues
- Can serve as a basis for compiler implementations

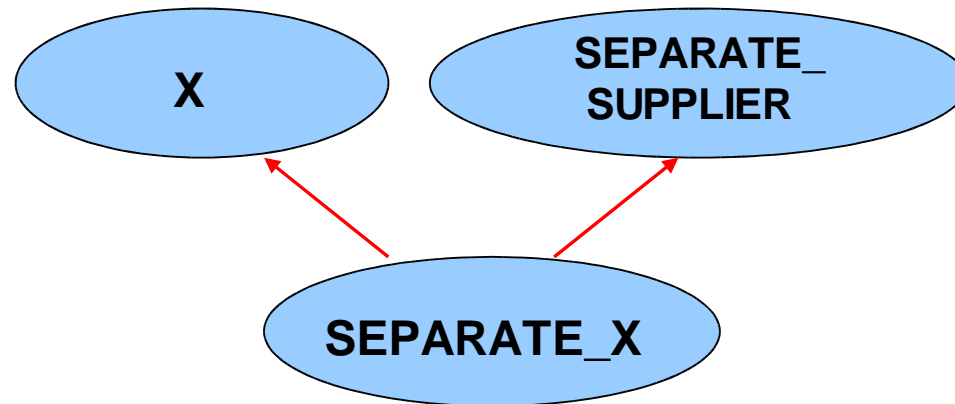


SCOOPLI concepts

- *separate client*
- *separate supplier*

Each separate client & separate supplier handled by different processor

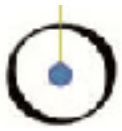
Class gets separateness through multiple inheritance:



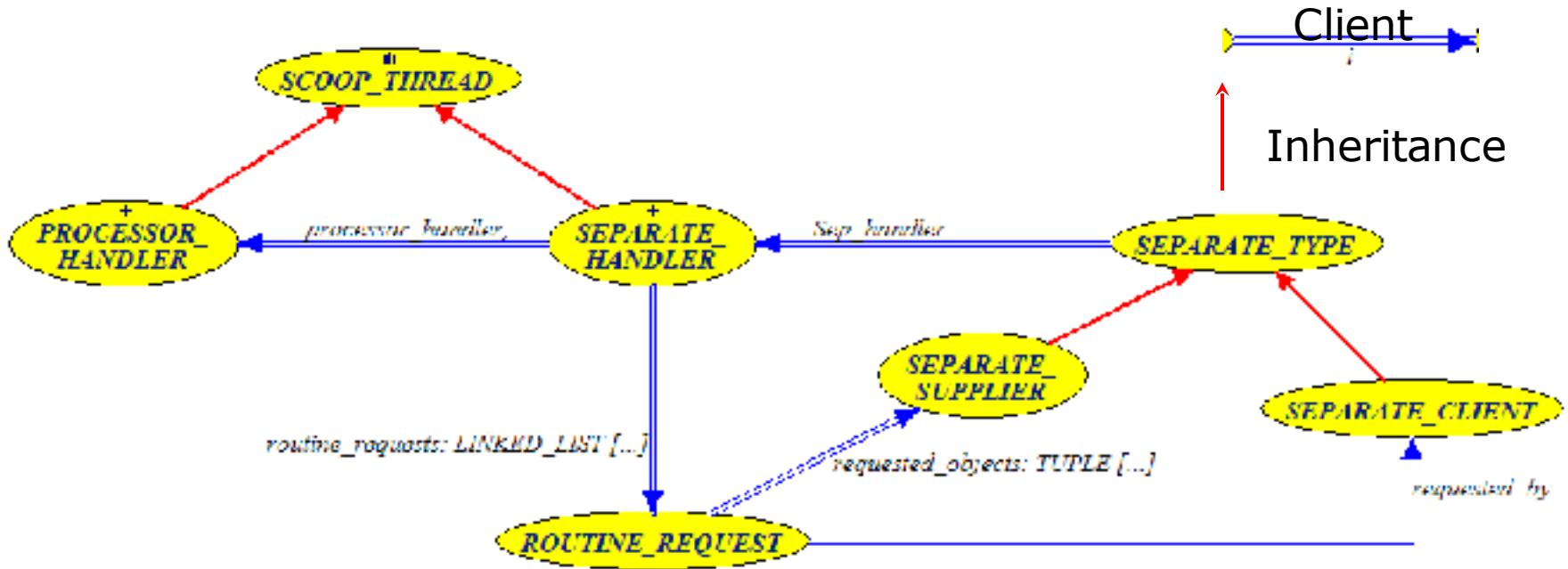


SCOOPLI emulation of SCOOP concepts

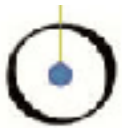
SCOOP	SCOOPLI
<pre>x: separate X x: X -- class X is separate</pre>	<pre>x: SEPARATE_X -- SEPARATE_X inherits from X and -- SEPARATE_SUPPLIER</pre>
<pre>r (x, y) -- x and y are separate r (x: separate X; y: separate Y) is require not x.is_empty y.count > 5 i > 0 -- i non-separate x /= Void do</pre>	<pre>separate_execute ([x, y], agent r (x, y), agent r_precondition) r_precondition: BOOLEAN is do Result := not x.is_empty and y.count > 5 end -- client class inherits from -- class SEPARATE_CLIENT</pre>



SCOOPLI Architecture

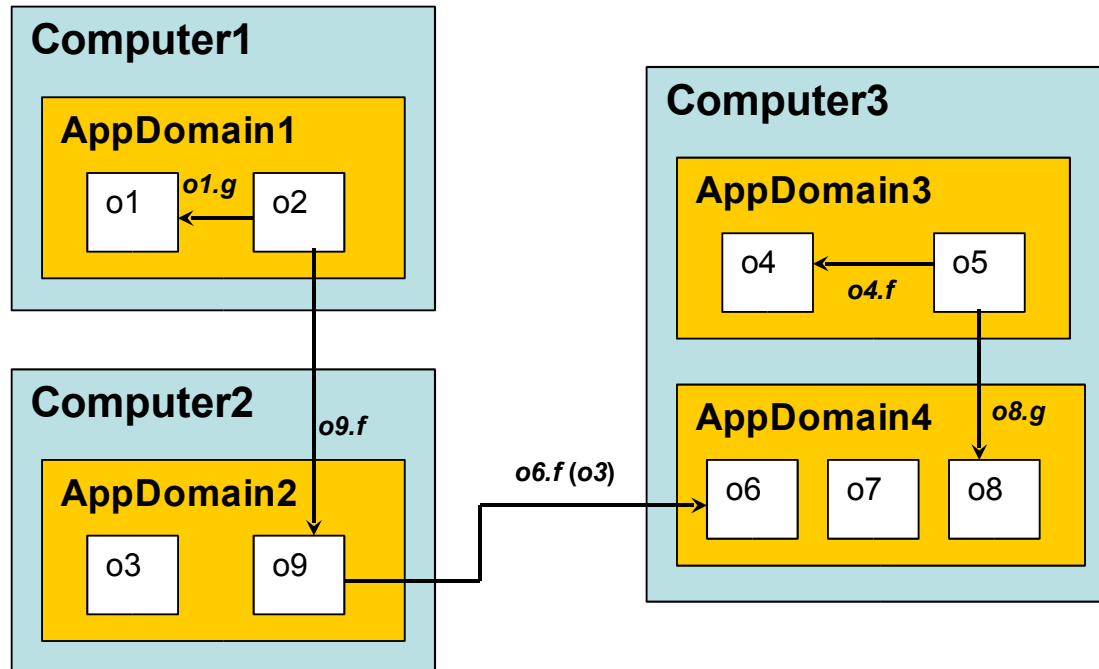


- **SEPARATE_HANDLER**: locking; checking wait conditions; scheduling of requests
- **PROCESSOR_HANDLERS**: execute separate calls; implement processors



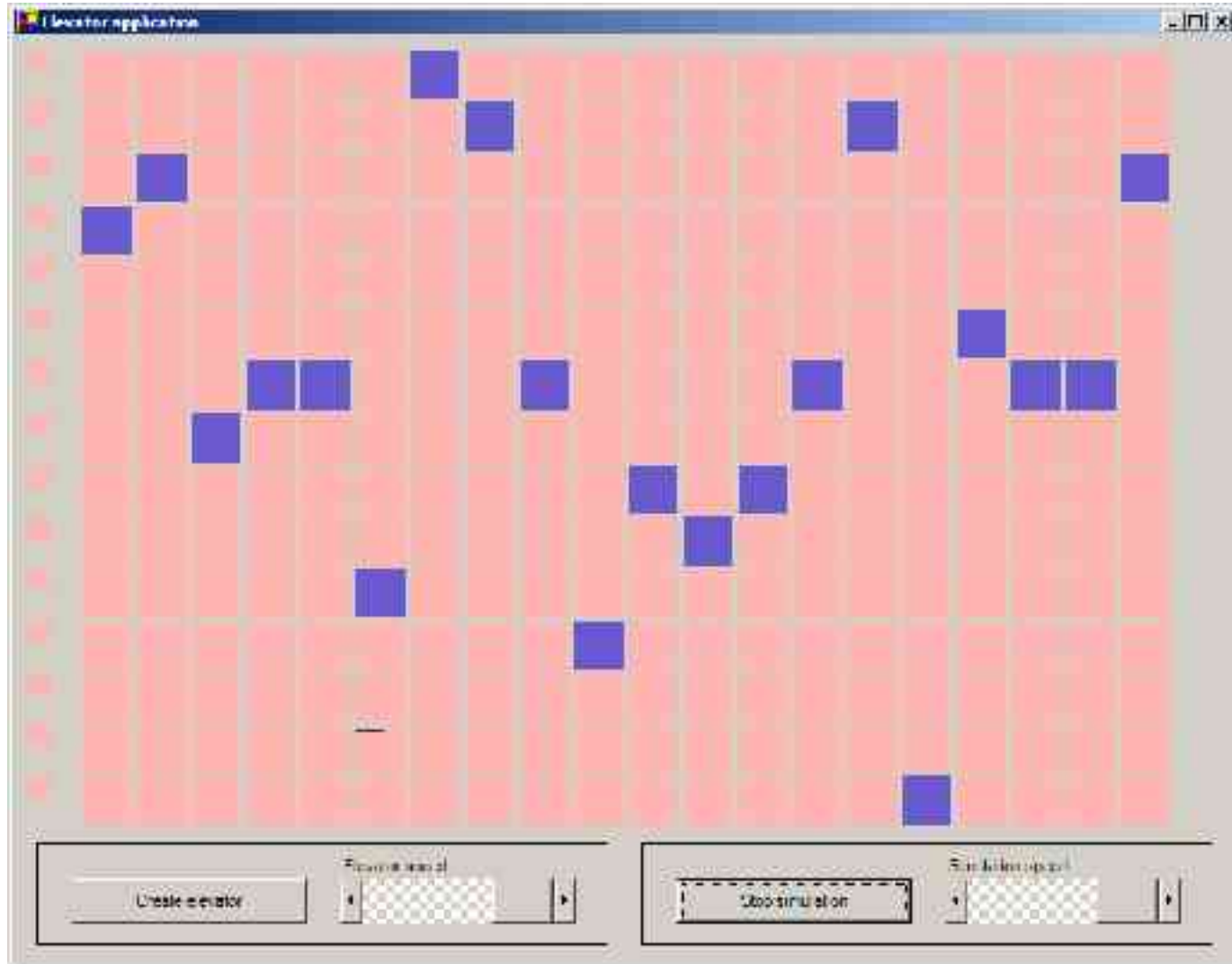
Distributed execution

- Processors (AppDomains) located on different machines
- .NET takes care of the "dirty work"
 - Marshalling
 - Minimal cost of inter-AppDomain calls



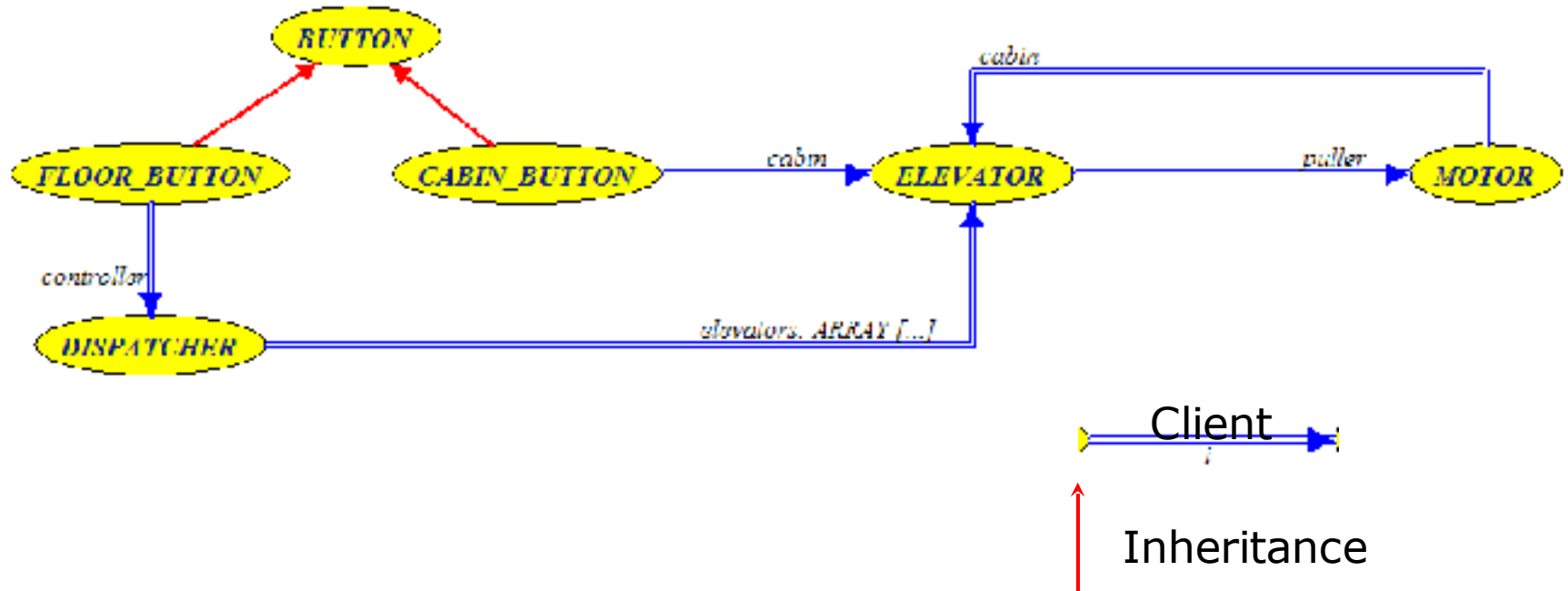


SCOOP multithreaded elevators





Elevator example architecture



For maximal concurrency, all objects are separate



Class BUTTON

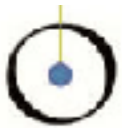
separate class

BUTTON

feature

target: INTEGER

end



Class *CABIN_BUTTON*

separate class *CABIN_BUTTON* inherit
BUTTON

feature

cabin: ELEVATOR

request **is**

-- Send to associated elevator a request to stop on level *target*.

do

actual_request (cabin)

end

actual_request (e: ELEVATOR) **is**

-- Get hold of *e* and send a request to stop on level *target*.

do

e.accept (target)

end

end



Class *ELEVATOR*

```
separate class ELEVATOR feature {BUTTON, DISPATCHER}
```

```
accept (floor: INTEGER) is
```

```
-- Record and process a request to go to floor.
```

```
do
```

```
record (floor)
```

```
if not moving then process_request end
```

```
end
```

```
feature {MOTOR}
```

```
record_stop (floor: INTEGER) is
```

```
-- Record information that elevator has stopped on  
floor.
```

```
do
```

```
moving := False ; position := floor ; process_request
```

```
end
```

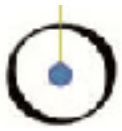


Class *ELEVATOR*

```
feature {NONE} -- Implementation
  process_request is
    -- Handle next pending request, if any.
    local floor: INTEGER do
      if not pending.is_empty then
        floor := pending.item ; actual_process (puller, floor)
        pending.remove
      end
    end

actual_process (m: MOTOR; floor: INTEGER) is
  -- Handle next pending request, if any.
  do
    moving := true ; m.move (floor)
  end

feature {NONE} -- Implementation
  puller: MOTOR ; pending: QUEUE [INTEGER]
end
```



Class *MOTOR*

```
separate class MOTOR feature {ELEVATOR}
```

```
  move (floor: INTEGER) is
```

```
    -- Go to floor; once there, report.
```

```
  do
```

```
    gui_main_window.move_elevator (cabin_number, floor)
```

```
    signal_stopped (cabin)
```

```
  end
```

```
  signal_stopped (e: ELEVATOR) is
```

```
    -- Report that elevator e stopped on level position.
```

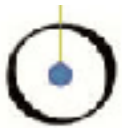
```
    do e.record_stop (position) end
```

```
feature {NONE}
```

```
  cabin: ELEVATOR ; position: INTEGER    -- Current floor level.
```

```
  gui_main_window: GUI_MAIN_WINDOW
```

```
end
```



Why SCOOP?

- SCOOP model
 - Simple yet powerful
 - Easier and safer than common concurrent techniques, e.g. Java Threads
 - Full concurrency support
 - Full use O-O and Design by Contract
 - Supports various platforms and concurrency architectures
 - One new keyword: **separate**
- SCOOPLI library
 - SCOOP-based syntax
 - Implemented on .NET
 - Distributed execution with .NET Remoting



Future work & open problems

- Other “handles”
- Direct support for distribution
- Prevent deadlock, extend access control policy
- Extend for real-time
 - Duel mechanism with priorities
 - Timing assertions?
- Integrate with Eiffel Software compiler



Application to Web services

- Every Web service should be described by a contract
- SCOOP seems to provide the right conceptual framework
- Implementation is in progress



Why SCOOP?

- Extend object technology with **general** and **powerful** concurrency support
- Provide the industry with simple techniques for parallel, distributed, internet, real-time programming
- **Make programmers sleep better!**